



## FATCA QUESTIONNAIRE & DECLARATION FOR DETERMINING US STATUS

### Section 1: US status evaluation

Sr. No	Evaluation Criteria	Guidance	Status (Please tick appropriately)
1	US National	Note 1	YES / NO
2	US resident	Note 2	YES / NO
3	Born in the USA	Note 3	YES / NO
4	US address	Note 4	YES / NO
5	US telephone number	Note 5	YES / NO
6	Standing instruction to transfer money to an account with US address	Note 6	YES / NO
7	Power of attorney or signature authority in favour of a person with US address	Note 7	YES / NO
8	In care of or hold mail address as the only address	Note 8	YES / NO

- a. If the answers to all the above is "NO" , then status is Non US Person
- b. If the answer to 1 to 2 is "YES" , Then status is US person ( Customer to provide FORM W9)
- c. If the answer to any of question 3 to 8 is YES , then initial status is " US Indicia" in which case.
  - i. Customer is to confirm whether he is a US Person OR Non US Person. If he confirms that he is a US Person, then customer to provide Form W9 as in (b) above.
  - ii. If not a US Person, then customer to provide Form W8 BEN. (If customer completes Form W8BEN then he is not a US Person)

### Section 2 US status confirmation

Based on above the US Status	Non US Person / US Person									
If US Person then TIN Number										

### Section 3 : Customer Declaration

- 1) In case of any material change in the circumstances affecting my/our US person status as per FATCA , I will inform such changes to **Geojit Financial Services Ltd** within 30 days of such event .
- 2) I confirm that the above analysis is done in my presence and based on information provided by me, I agree with the US status documented above for my accounts.
- 3) I hereby consent to the disclosure and reporting of any tax related information obtained or held by **Geojit Financial Services Ltd** to any local regulatory or tax authority/ US authorities for FATCA purposes.

Customer Name	Trade Code (in case of Existing Customers)	Customer Signature

I have verified the US status classification

Staff Name	Branch Manager / Supervisor Seal & Signature